



UNITED STATES PATENT AND TRADEMARK OFFICE

COPY OF PAPERS
ORIGINALLY FILEDCOMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/898,319	07/02/2001	G. Scott Smith	020699-000310US

CONFIRMATION NO. 4767

FORMALITIES LETTER



OC000000006451209

20350
TOWNSEND AND TOWNSEND AND CREW
TWO EMBARCADERO CENTER
EIGHTH FLOOR
SAN FRANCISCO, CA 94111-3834

Date Mailed: 08/21/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:
Jose Diaz
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/05/2002 MARDI1 00000006 201430 09898319

01 FC:105 130.00 CH

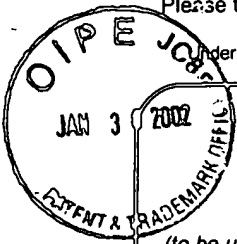
Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. SF 1303471 v1

Please type a plus sign (+) inside this box → ☐

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

COPY OF PAPERS
ORIGINALLY FILED

Application Number	09/898,319
Filing Date	July 2, 2001
First Named Inventor	Smith, G. Scott
Group Art Unit	2131
Examiner Name	
Total Number of Pages in This Submission	Attorney Docket Number 020699-000310US

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Copy of Notice of Missing Parts; Petition (filing when joint inventor refuses to sign); declaration of Fidel Nwamu; copy of employment agreement; copy of letter sent to Jose Diaz; copy of envelope; Return Postcard; copy of Dec. of Scott Smith
Remarks The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.		RECEIVED APR 10 2002 OFFICE OF PETITIONS

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm and Individual name	Townsend and Townsend and Crew LLP Fidel D. Nwamu	Reg. No. 46,294
Signature		
Date	December 21, 2001	

CERTIFICATE OF MAILING

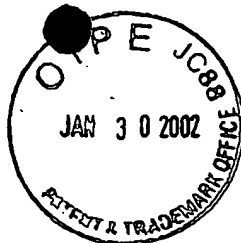
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:		
December 21, 2001		
Typed or printed name	Gigi Hoover	
Signature		Date December 21, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

SF 1303472 v1

TOWNSEND
and
TOWNSEND
and
CREW

LLP



Denver, Colorado

Tel 303 571-4000

Palo Alto, California

Tel 650 326-2400

Seattle, Washington

Tel 206 467-9600

San Francisco

Two Embarcadero Center

Eighth Floor

San Francisco

California 94111-3834

Tel 415 576-0200

Fax 415 576-0300

Direct: 415-273-7564
fdnwamu@townsend.com

COPY OF PAPERS
ORIGINALLY FILED

July 5, 2001

VIA CERTIFIED MAIL

Jose Diaz
5328 Beachgrove Court
San Jose, CA 95123

Re: New US Patent Application
For: Storage and Retrieval of Encrypted Content on Storage Media
Inventors: G. Scott Smith, et al.
Filed: July 2, 2001
Client Reference No.: 50P3896
Our File No.: 020699-000310US

RECEIVED

APR 10 2002

OFFICE OF PETITIONS

Dear Mr. Diaz:

Enclosed is a copy of the above application as filed recently with the Patent Office together with a copy of my July 5, 2001 letter to Mr. Fujii.

Please let us know by August 5, 2001 if you will be signing the declaration and assignment when we file the Response to Notice to File Missing Parts. If we do not hear from you by that date we will file a Rule 1.47 Petition instead.

If you have any questions, please call me.

Very truly yours,

Fidel D. Nwamu

FDN/amb

Enclosures

cc: Harold T. Fujii, Esq.
Charles Kulas, Esq.

SF 1243527 v1

U.S. Postal Service	
CERTIFIED MAIL RECEIPT	
(Domestic Mail Only. No Insurance Coverage Provided)	
Fidel D. Nwamu	
Postage	\$ 1.26
Certified Fee	2.10
Return Receipt Fee (Endorsement Required)	
Restricted Delivery Fee (Endorsement Required)	1.50
Total Postage & Fees	\$4.86
Postmark Here	
7/5/01	
Recipient's Name (Please Print Clearly) (To be completed by mailer)	
Jose Diaz	
Street, Apt. No., or PO Box No.	
5328 Beachgrove Court	
City, State, ZIP+4	
San Jose, CA 95123	

7000 0520 0023 1344 6658

IPS Form 3800, February 2000 See Reverse for Instructions